

FORM PTO-1449
(Rev. 2-32)

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

Serial No.

02-742-F
(400/132)

10/665,951

Applicant:

McSwiggen et al.

Filing Date:

September 18, 2003

Group:

11035

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)



U.S. PATENT APPLICATION DOCUMENTS

Examiner Initial		Document Number	Filing Date	Name	Class	Subclass	Publication Date if Appropriate
AMB	*	09/226,044	07/12/01	Hoffman et al.			
	*	10/151,116	05/17/02	Matulic-Adamic et al.			
	*	10/201,394	08/13/01	Vargeese et al.			
	*	10/287,949	11/04/02	Pavco			
	*	10/306,747	11/27/02	Pavco			
	*	10/427,160	04/30/03	Vargeese et al.			
	*	10/438,493	05/15/03	Pavco et al.			
	*	10/444,853	05/23/03	McSwiggen et al.			
	*	10/664,668	09/16/03	McSwiggen et al.			
	*	10/664,767	09/16/03	McSwiggen et al.			
	*	10/665,255	09/18/03	McSwiggen et al.			
	*	10/665,951	09/18/03	McSwiggen et al.			
	*	10/670,011	09/23/03	McSwiggen et al.			
	*	10/693,059	10/23/03	McSwiggen et al.			
	*	10/712,633	11/13/03	McSwiggen et al.			
	*	10/720,448	11/24/03	McSwiggen et al.			

EXAMINER

AMB

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10/11/05

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CHB	*	10/727,780	12/03/03	Vaish et al.			
	*	10/757,803	01/14/04	McSwiggen et al.			
	*	10/758,155	01/12/04	McSwiggen et al.			
	*	10/764,957	01/26/04	McSwiggen et al.			
	*	10/831,620	04/23/04	McSwiggen et al.			
	*	2001/0007666	07/12/01	Hoffman et al.			
	*	2002/0130430	09/19/02	Castor			
	*	2004/0037780	02/06/04	Parsons et al.			
	*	60/082,404	04/20/98	Thomspon et al.			
	*	60/334,461	11/30/01	Pavco			
	*	60/358,580	02/20/02	Beigelman et al.			
	*	60/363,124	03/11/02	Beigelman et al.			
	*	60/386,782	06/06/02	Beigelman et al.			
	*	60/393,796	07/03/02	McSwiggen et al.			
	*	60/399,348	07/29/02	McSwiggen et al.			
	*	60/402,996	08/13/02	Usman et al.			
	*	60/406,784	08/29/02	Beigelman et al.			
	*	60/408,378	09/05/02	Beigelman et al.			
	*	60/409,293	09/09/02	Beigelman et al.			


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

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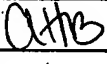

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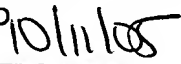
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	*	60/440,129	01/15/03	Beigelman et al.			
	*	60/543,480	02/10/04	Jadhav et al.			

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	*	4,501,729	02/26/85	Boucher et al.			
	*	5,138,045	08/11/92	Cook et al.			
	*	5,214,136	05/25/93	Lin et al.			
	*	5,334,711	08/02/94	Sproat et al.			
	*	5,624,803	04/29/97	Noonberg et al.			
	*	5,627,053	05/06/97	Usman et al.			
	*	5,631,360	05/20/97	Usman et al.			
	*	5,670,633	09/23/97	Cook et al.			
	*	5,672,695	09/30/97	Eckstein et al.			
	*	5,716,824	02/10/98	Beigelman et al.			
	*	5,792,847	08/11/98	Buhr et al.			
	*	5,804,683	09/08/98	Usman et al.			
	*	5,814,620	09/29/98	Robinson et al.			

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(Use several sheets if necessary)

ChB	*	5,831,071	11/03/98	Usman et al.			
	*	5,854,038	12/29/98	Sullenger et al.			
	*	5,889,136	03/30/99	Scaringe et al.			
	*	5,898,031	04/27/99	Crooke			
	*	5,902,880	05/11/99	Thompson			
	*	5,998,203	12/07/99	Adamic et al.			
	*	6,001,311	12/14/99	Brennen			
	*	6,005,087	12/21/99	Cook et al.			
	*	6,008,400	12/28/99	Scaringe et al.			
	*	6,054,576	04/25/00	Bellon et al.			
	*	6,107,094	08/22/00	Crooke			
	*	6,111,086	08/29/00	Scaringe et al.			
	*	6,117,657	09/12/00	Usman et al.			
	*	6,146,886	11/14/00	Thompson et al.			
	*	6,153,737	11/28/00	Manoharan et al.			
	*	6,162,909	12/19/00	Bellon et al.			
	*	6,180,613	01/30/01	Kaplitt et al.			
	*	6,235,310	05/22/01	Wang et al.			
	*	6,248,878	06/19/01	Matulic-Adamic et al.			

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		Filing Date: September 18, 2003	Group: 1085

QHB	*	6,300,074	10/09/01	Gold et al.			
	*	6,303,773	10/16/01	Bellon et al.			
	*	6,335,434	01/01/02	Guzaev et al.			
	*	6,353,098	03/05/02	Usman et al.			
	*	6,362,323	03/26/01	Usman et al.			
	*	6,395,713	05/28/02	Beigelman et al.			
	*	6,437,117	08/20/02	Usman et al.			
	*	6,447,796	09/10/02	Vook et al.			
	*	6,469,158	10/22/02	Usman et al.			
	*	6,476,205	11/05/02	Buhr et al.			
	*	6,506,559	01/14/03	Fire et al.			
	*	6,528,631	03/04/03	Cook et al.			
	*	6,565,885	05/20/03	Tarara et al.			
	*	6,582,728	06/24/03	Platz et al.			
	*	6,586,524	07/01/03	Sagara			
✓	*	6,592,904	07/15/03	Platz et al.			

FOREIGN PATENT DOCUMENTS

							Translation
		Document	Date	Country	Class	Subclass	

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		Number						Yes	No
CHB	1.	4037501	08/03/00	AU (Kreutzer et al.)					
	2.	2,359,180	08/03/00	CA (Kreutzer et al.)					
	3.	1144623	08/03/00	EP (Kreutzer et al.)					
	4.	89/02439	03/23/89	WO (Arnold et al.)					
	5.	90/14090	11/29/90	WO (Gillespie et al.)					
	6.	91/03162	03/21/91	WO (Rossi et al.)					
	7.	92/07065	04/30/92	WO (Eckstein et al.)					
	8.	93/15187	08/05/93	WO (Usman et al.)					
	9.	93/23569	11/25/93	WO (Draper et al.)					
	10.	94/02595	02/03/94	WO (Sullivan et al.)					
	11.	94/01550	01/20/94	WO (Agrawal et al.)					
	12.	95/06731	03/09/95	WO (Usman et al.)					
	13.	95/11910	05/04/95	WO (Dudycz et al.)					
	14.	96/10390	04/11/96	WO (Ansell et al.)					
	15.	96/10391	04/11/96	WO (Choi et al.)					
	16.	96/10392	04/11/96	WO (Holland et al.)					
	17.	96/18736	06/20/96	WO (Beigelman et al.)					
✓	18.	97/26270	07/24/97	WO (Wincott et al.)					

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The PTO did not receive the following
 listed Items(s) 4037501 (AU)

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19.	98/13526	04/02/98	WO (Woolf et al.)				
20.	99/07409	02/18/99	WO (Deschamps de Paillette et al.)				
21.	99/14226	03/25/99	WO (Wengel et al.)				
22.	99/31262	06/24/99	WO (Barry et al.)				
23.	99/32619	07/01/99	WO (Fire et al.)				
24.	99/49029	09/30/99	WO (Graham et al.)				
25.	99/53050	10/21/99	WO (Waterhouse et al.)				
26.	99/54459	10/28/99	WO (Thompson et al.)				
27.	99/61631	12/02/99	WO (Heifetz et al.)				
28.	00/01846	01/13/00	WO (Plaetnick et al.)				
29.	00/44895	08/03/00	WO (Kreutzer et al.)				
30.	00/44914	08/03/00	WO (Li et al.)				
31.	00/49035	08/24/00	WO (Sheen)				
32.	00/53722	09/14/00	WO (O'Hare et al.)				
33.	00/63364	10/26/00	WO (Pachuk et al.)				
34.	00/66604	11/09/00	WO (Wengel et al.)				
35.	01/04313	01/18/01	WO (Satishchandran et al.)				
36.	01/29058	04/26/01	WO (Mello et al.)				
37.	01/36646	05/25/01	WO (Zernicka-Goetz et al.)				

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38.	01/38551	05/31/01	WO (Grossniklaus et al.)				
39.	01/42443	06/14/01	WO (Churikov et al.)				
40.	01/49844	07/12/01	WO (Driscoll et al.)				
41.	01/53475	07/26/01	WO (Cogoni et al.)				
42.	01/68836	09/20/01	WO (Beach et al.)				
43.	01/70944	09/27/01	WO (Honer et al.)				
44.	01/70949	09/27/01	WO (Graham et al.)				
45.	01/72774	10/04/01	WO (Deak et al.)				
46.	01/75164	10/11/01	WO (Tuschl et al.)				
47.	01/92513	12/06/01	WO (Arndt et al.)				
48.	01/96584	12/20/01	WO (Mushegian et al.)				
49.	02/055692	07/18/02	WO (Kreutzer et al.)				
50.	02/055693	07/18/02	WO (Kreutzer et al.)				
51.	02/22636	03/21/02	WO (Bennett et al.)				
52.	02/38805	05/15/02	WO (Echeverri et al.)				
53.	02/44321	06/06/02	WO (Tuschl et al.)				
54.	02/096927	12/05/02	WO (Pavco)				
55.	03/24420	03/27/03	WO (Alheim et al.)				
56.	03/46185	06/05/03	WO (Wang et al.)				

EXAMINER <i>Altman</i>	DATE CONSIDERED 10/11/05
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CMB	57.	03/47518	06/12/03	WO (Wang et al.)				
	58.	PCT/US02/15876	05/20/02	Beigelman et al.				
	59.	PCT/US02/17674	05/29/02	WO (Pavco et al.)				
	60.	PCT/US03/05022	02/20/03	WO (McSwiggen et al.)				
	61.	PCT/US03/05028	02/20/03	McSwiggen et al.				
	62.	PCT/US03/05346	02/20/03	McSwiggen et al.				
	63.	WO 03/064625	02/03/03	WO (Woolf et al.)				
	64.	WO 03/064626	02/03/03	WO (Woolf et al.)				
	65.	WO 03/030989	04/17/03	WO (Behar et al.)				
	66.	WO 03/043689	05/03/03	WO (Behar et al.)				
↓	67.	WO 04/013280	05/26/03	WO (Davidson et al.)				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).



CMB	68.	Adah et al., "Chemistry and Biochemistry of 2',5'-Oligoadenylate-Based Antisense Strategy," <i>Current Medicinal Chemistry</i> , 8, 1189-1212 (2001)
	69.	Aiello et al., "Vascular Endothelial Growth Factor in Ocular Fluid of Patients with Diabetic Retinopathy and Other Retinal Disorders," <i>The New England Journal of Medicine</i> 331(22):1480-1487 (1994)
	70.	Akhtar and Juliano, "Cellular Uptake and Intracellular Fate of AntiSense Oligonucleotides," <i>Trends Cell Biol.</i> 2:139-144 (1992)
	71.	Aldrian-Herrada et al., "A peptide nucleic acid (PNA) is more rapidly internalized in cultured neurons when coupled to a retro-inverso delivery peptide. The antisense activity depresses the target mRNA and protein in magnocellular oxytocin neurons," <i>Nucleic Acids Research</i> 26:4910-4916 (1998)

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AHB	72.	Allshire, "RNAi and Heterochromatin - A Hushed-up Affair," <i>Science</i> 297:1818-1819 (2002)
	73.	Andrews and Faller, "A rapid micropreparation technique for extraction of DNA-binding proteins from limiting numbers of mammalian cells," <i>Nucleic Acids Research</i> 19:2499 (1991)
	74.	Autiero et al., "Role of PIGF in the intra- and intermolecular cross talk between the VEGF receptors Flt1 and Flk1," <i>Nature Medicine</i> , 9:936-943 (2003)
	75.	Baenziger and Fiete, "Galactose and N-Acetylgalactosamine-Specific Endocytosis of Glycopeptides by Isolated Rat Hepatocytes," <i>Cell</i> 22:611-620 (1980)
	76.	Bahramian et al., "Transcriptional and Posttranscriptional Silencing of Rodent $\alpha 1(I)$ Collagen by a Homologous Transcriptionally Self-Silenced Transgene," <i>Molecular and Cellular Biology</i> , 274-283 (1999)
	77.	Bannai et al., "Effect of Injection of Antisense of Oligodeoxynucleotides of GAD Isozymes into Rat Ventromedial Hypothalamus on Food Intake and Locomotor Activity," <i>Brain Research</i> 784:305-315 (1998)
	78.	Bannai et al., "Water-absorbent Polymer as a Carrier for a Discrete Deposit of Antisense Oligodeoxynucleotides in the Central Nervous System," <i>Brain Research Protocols</i> 3:83-87 (1998)
	79.	Bass, "The short answer," <i>Nature</i> 411:428-429 (2001)
	80.	Bass, "Double-Stranded RNA as a Template for Gene Silencing," <i>Cell</i> , 101, 235-238 (2000)
	81.	Beaucage and Iyer, "The Functionalization of Oligonucleotides Via Phosphoramidite Derivatives," <i>Tetrahedron</i> 49:1925-1963 (1993)
	82.	Beigelman et al., "Chemical Modification of Hammerhead Ribozymes," <i>The Journal of Biological Chemistry</i> 270:25702-25708 (1995)
	83.	Bellon et al., "Amino-Linked Ribozymes: Post-Synthetic Conjugation of Half-Ribozymes," <i>Nucleosides & Nucleotides</i> 16:951-954 (1997)
✓	84.	Bellon et al., "Post-synthetically Ligated Ribozymes: An Alternative Approach to Iterative Solid Phase Synthesis," <i>Bioconjugate Chem.</i> 8:204-212 (1997)

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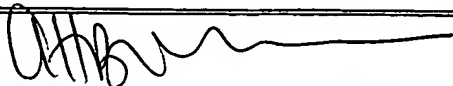
AHB	85.	Berkman et al., "Expression of the Vascular Permeability Factor/Vascular Endothelial Growth Factor Gene in Central Nervous System Neoplasms," The Journal of Clinical Investigation, Inc. 91:153-159 (1993)
	86.	Bernstein et al., "Role for a Bidentate Ribonuclease in the Initiation Step of RNA Interference," Nature 409:363-366 (2001)
	87.	Bettinger et al., "Size Reduction of Galactosylated PEI/DNA Complexes Improves Lectin-Mediated Gene Transfer into Hepatocytes," <i>Bioconjugate Chem.</i> , 10, 558-561 (1999)
	88.	Boado et al., "Drug Delivery of Antisense Molecules to the Brain for Treatment of Alzheimer's Disease and Cerebral AIDS," Journal of Pharmaceutical Sciences 87:1308-1315 (1998)
	89.	Boado, "Antisense drug delivery through the blood-brain barrier," Advanced Drug Delivery Reviews 15:73-107 (1995)
	90.	Brennan et al., "Two-Dimensional Parallel Array Technology as a New Approach to Automated Combinatorial Solid-Phase Organic Synthesis," Biotechnology and Bioengineering (Combinatorial Chemistry) 61:33-45 (1998)
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	92.	Brody and Gold, "Aptamers as therapeutic and diagnostic agents," Reviews in Molecular Biotechnology 74:5-13 (2000)
	93.	Burger et al., "Experimental Corneal Neovascularization: Biomicroscopic, Angiographic, and Morphologic Correlation," Cornea 4:35-41 (1985/1986)
	94.	Burgin et al., "Chemically Modified Hammerhead Ribozymes with Improved Catalytic Rates," Biochemistry 35:14090-14097 (1996) (volume no. mistakenly listed as 6)
	95.	Burlina et al., "Chemical Engineering of RNase Resistant and Catalytically Active Hammerhead Ribozymes," Bioorganic & Medicinal Chemistry 5:1999-2010 (1997)
✓	96.	Caruthers et al., "Chemical Synthesis of Deoxyoligonucleotides and Deoxyoligonucleotide Analogs," Methods in Enzymology 211:3-19 (1992)

EXAMINER AHB	DATE CONSIDERED 10/11/03
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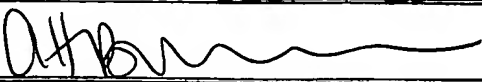
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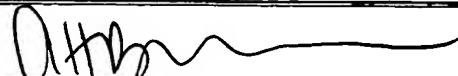
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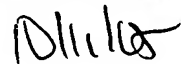
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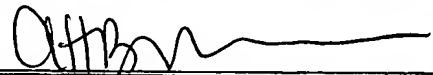
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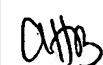
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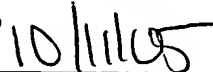
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